Summary of Some Grant and Loan Programs for Drinking Water and Wastewater Projects

Updated 10-3-13

Please contact Cathi Read at cathi.read@commerce.wa.gov if you would like to update your program information or if you would like an electronic copy of this document.

PLANNING

Program	Eligible Projects	Eligible Applicants	Funding Available	How To Apply
CDBG-POG Community Development Block Grant – Planning-Only Grant Program	• Comprehensive plans • Infrastructure plans • Feasibility studies • Community action plans • Low-income housing assessments	Projects must principally benefit low- to moderate-income people in non-entitlement cities and counties. • Cities or towns with fewer than 50,000 people • Counties with fewer than 200,000 people	Grant • Up to $24,000 for a single jurisdiction. • Up to $35,000 for single jurisdiction projects that address urgent public health and safety needs. • Up to $40,000 for multiple jurisdictions/joint application.	2013 applications accepted beginning May 2013 through April 2014 on a fund-available basis (funds still available as of 10-3-13) **Contacts:** Phyllis Cole, 360-725-4001 phyllis.cole@commerce.wa.gov Jon Galow, 509-847-5021 Jon.galow@commerce.wa.gov
PWTF PLANNING Public Works Trust Fund – Planning Program	• Single or multiple system plans covering PWTF eligible systems • Updates to existing capital facilities plans • Environmental studies • Cultural/historical project reviews	Counties, cities, and special-purpose districts that meet certain requirements (contact Client Service Representative). No school or port districts.	No planning funding is currently available.	Note: Availability of future funds is dependent on approval by the Governor and Legislature. **Contact:** Isaac Huang, Regional Service Coordinator, 360-725-3162 isaac.huang@commerce.wa.gov
CERB PLANNING AND FEASIBILITY GRANTS Community Economic Revitalization Board – Project-Specific Planning Program	Project-specific feasibility and pre-development studies that advance community economic development goals for industrial sector business development.	Eligible statewide • Counties, cities, towns, port districts, special districts. • Federally recognized tribes • Municipal corporations, quasi-municipal corporations w/ economic development purposes.	Grant • Up to $50,000 per application. • Requires 25 percent matching funds.	Applications accepted year-round. The Board meets six times a year. **Contacts:** Janea Eddy, 360-725-3151 janea.eddy@commerce.wa.gov Dawn Eychaner, 360-725-4052 Dawn.eychaner@commerce.wa.gov
RCAC RURAL COMMUNITY ASSISTANCE CORPORATION Feasibility and Pre-Development Loans	Water and/or wastewater planning; environmental work; and other work to assist in developing an application for infrastructure improvements.	Non-profit organizations, public agencies, tribes, and low-income rural communities with a 50,000 population or less, or 10,000 or less if guaranteed by USDA Rural Development financing.	• Maximum $50,000 for feasibility loan. • Maximum $350,000 for pre-development loan. • 1 year term. • 5.5% interest rate.	Applications accepted anytime **Contact**: Josh Griff 720-951-2163 jgriff@rcac.org Applications available on-line at www.rcac.org

PLANNING – continued

Program	Eligible Projects	Eligible Applicants	Funding Available	How To Apply
ECOLOGY: INTEGRATED WATER QUALITY FUNDING PROGRAM State Water Pollution Control Revolving Fund Centennial Clean Water Fund	Planning projects associated with publicly-owned wastewater and stormwater facilities. The integrated program also funds planning and implementation of nonpoint source pollution control activities.	Counties, cities, towns, conservation districts, or other political subdivision, municipal or quasi-municipal corporations, and tribes Preconstruction Set-aside Jurisdictions listed above with a population of 25,000 or less and a MHI (median household income) below the statewide average receive priority for loan funds. Preconstruction Set-aside (Distressed Communities) Jurisdictions listed above with a population of 25,000 or less and a MHI below 80% of the statewide average.	Loan, at either: (SFY 2015 interest rates) • 2.7% interest for 6-20 year term, or • 1.4% interest for 5 year term Pre-Construction Set-aside (Distressed Communities) 50% forgivable principal loan and 50% loan, at either: (SFY 2015 interest rates) • 2.7% interest for 6-20 year term, or • 1.4% interest for 5 year term	State Fiscal Year 2015 application cycle closes on December 4, 2013. Applications typically accepted September 1 through first Friday in November. (FY2015 is an exception. The FY2015 cycle is delayed in order to implement the on-line application.) **Contact:** David Dunn 360-407-6503 david.dunn@ecy.wa.gov http:/www.ecy.wa.gov/programs/wq/funding/funding.html
RD PRE-DEVELOPMENT GRANTS (PPD) U.S. Dept. of Agriculture Rural Development – Rural Utilities Service – Water and Waste Disposal Direct Loans and Grants	Water and/or sewer planning; environmental work; and other work to assist in developing an application for infrastructure improvements.	Low-income, small communities and systems serving areas under 10,000 population.	Loans; Grants in some cases, depending on funding availability. Maximum $25,000 grant Requires minimum 25% match	Applications accepted year-round, on a fund-available basis. **Contact:** Gene Dobry 360-704-7733 eugene.dobry@wa.usda.gov http://www.rurdev.usda.gov/wa
RD 'SEARCH' GRANTS: SPECIAL EVALUATION ASSISTANCE FOR RURAL COMMUNITIES U.S. Dept. of Agriculture Rural Development – Rural Utilities Service – Water and Waste Disposal Direct Loans and Grants	Water and/or sewer planning; environmental work; and other work to assist in developing an application for infrastructure improvements.	Low-income, small communities and systems serving areas under 2,500 population.	Maximum $30,000 grant. No match required.	Applications accepted year-round, on a fund-available basis **Contact:** Gene Dobry 360-704-7733 eugene.dobry@wa.usda.gov http://www.rurdev.usda.gov/wa

PRE-CONSTRUCTION ONLY

Program	Eligible Projects	Eligible Applicants	Funding Available	How To Apply
PWTF PRE-CON Public Works Trust Fund – Pre-Construction Program	Low-interest loans are available to fund pre-construction activities that prepare a specific project for construction.	Counties, cities, special purpose districts, and quasi-municipal organizations that meet certain requirements. School districts and port districts are not eligible.	The Public Works Board has requested approval of supplemental funding of $10 million. If approved by the Governor and Legislature, funds will be available in July 2014. Loan terms to be made available once program is funded.	Check the Public Works Board website periodically at http://www.pwb.wa.gov to obtain the latest information on program details or to contact Public Works Board staff. Map of Regional Service Coordinators: http://www.pwb.wa.gov/technical-assistance/Regional-Service-Coordinators/Pages/default.aspx
ECOLOGY: INTEGRATED WATER QUALITY FUNDING PROGRAM State Water Pollution Control Revolving Fund Centennial Clean Water Fund	Design projects associated with publicly-owned wastewater and stormwater facilities. The integrated program also funds planning and implementation of nonpoint source pollution control activities.	Counties, cities, towns, conservation districts, or other political subdivision, municipal or quasi-municipal corporations, and tribes. Preconstruction Set-aside Jurisdictions listed above with a population of 25,000 or less and a MHI (median household income) below the statewide average receive priority for loan funds. Preconstruction Set-aside (Distressed Communities) Jurisdictions listed above with a population of 25,000 or less and a MHI below 80% of the statewide average.	Loan, at either: (SFY 2015 interest rates) • 2.7% interest for 6-20 year term, or • 1.4% interest for 5 year term Pre-Construction Set-aside (Distressed Communities) 50% forgivable principal loan and 50% loan, at either: (SFY 2015 interest rates) • 2.7% interest for 6-20 year term, or • 1.4% interest for 5 year term	State Fiscal Year 2015 application cycle closes on December 4, 2013. Applications typically accepted September 1 through first Friday in November. (FY2015 is an exception. The FY2015 cycle is delayed in order to implement the on-line application.) **Contact:** David Dunn 360-407-6503 david.dunn@ecy.wa.gov http:/www.ecy.wa.gov/programs/wq/funding/funding.html
RCAC **RURAL COMMUNITY ASSISTANCE CORPORATION** Feasibility and Pre-Development Loans	Water and/or wastewater planning; environmental work; and other work to assist in developing an application for infrastructure improvements.	Non-profit organizations, public agencies, tribes, and low-income rural communities with a 50,000 population or less, or 10,000 or less if guaranteed by USDA Rural Development financing.	• Maximum $50,000 for feasibility loan. • Maximum $350,000 for pre-development loan. • 1 year term. • 5.5% interest rate.	Applications accepted anytime **Contact**: Josh Griff 720-951-2163 jgriff@rcac.org Applications available on-line at www.rcac.org

Program	Eligible Projects	Eligible Applicants	Funding Available	How To Apply
RURAL WATER REVOLVING LOAN FUND Pre-Development Loans	Pre-development costs associated with proposed water and wastewater projects. Short-term costs incurred for replacement equipment, small scale extension of services, or other small capital projects that are not a part of regular operations and maintenance.	Systems applying must be public entities. This includes municipalities, counties, special purpose districts, Native American Tribes, and corporations not operated for profit, including cooperatives, with up to 10,000 population and rural areas with no population limits.	• Loan amounts may not exceed $100,000 or 75% of the total project cost, whichever is less. • Interest rates at the lower of the poverty or market interest rate as published by USDA RD RUS, with a minimum of 3% at the time of closing. • 5-year, interest only, pre-development loans will also be available. • Maximum repayment period is 10 years. Additional ranking points for a shorter repayment period. The repayment period cannot exceed the useful life of the facilities or financed item.	Applications accepted anytime. **Contact**: Tracey Hunter Evergreen Rural Water of WA 360-462-9287 thunter@erwow.org Download application online: http://www.nrwa.org/benefits/rlfapp.pdf
SOURCE WATER PROTECTION GRANT PROGRAM	Drinking water source protection plans, feasibility studies, and hydrogeologic studies; and source protection actions/projects identified as a priority in a water system, local or regional plan.	Counties, cities, towns, and special purpose districts. Homeowner's associations and non-municipal water systems are not eligible. Project must be considered a priority for drinking water source protection by Department of Health Regional Office.	• Grants • Funding is dependent upon project needs, but typically does not exceed $30,000. • $200,000 is currently available (as of 10-3-13).	Applications accepted anytime; grants awarded on a funds available basis. **Contact:** Kitty Weisman Source Water Protection Program Manager, Washington State Department of Health, Office of Drinking Water 360-236-3114 Kitty.weisman@doh.wa.gov

CONSTRUCTION and DESIGN/CONSTRUCTION

Program	Eligible Projects	Eligible Applicants	Funding Available	How To Apply
CDBG-GP Community Development Block Grant – General Purpose Grant Program	Final design and construction of wastewater, drinking water, side connections, stormwater, streets, bridge, community facility, economic development, and housing rehabilitation projects.	Projects must principally benefit low- to moderate-income people in non-entitlement cities and counties. • Cities or towns with fewer than 50,000 people • Counties with fewer than 200,000 people	Grant • Up to $1,000,000, depending on project type, financial need, and past grant awards. • No match required, but local contribution and gap financing preferred.	Application materials available April 2014. Applications due June 2014. **Contact:** Kaaren Roe 360-725-3018 kaaren.roe@commerce.wa.gov
PWTF Public Works Trust Fund – Construction Program	New construction, replacement, and repair of existing infrastructure for domestic water, sanitary sewer, stormwater, solid waste, road or bridge projects, and reasonable growth.	Counties, cities, special purpose districts, and quasi-municipal organizations that meet certain requirements (contact a Regional Service Coordinator for more information). No school districts or port districts.	Loan Up to $200 million may be available for funding, pending legislative approval. Interest rates are set by loan term and average daily municipal bond market rates 30-60 days prior to the application cycle. The repayment term cannot exceed the life of the improvement. Local match is required.	2015 Application Cycle: Applications will be accepted in Spring 2014, and funds may be available in July 2015, subject to legislative approval. Please visit http://www.pwb.wa.gov for information. **Contact:** Isaac Huang, Regional Service Coordinator 360-725-3162 isaac.huang@commerce.wa.gov
RD U.S. Dept. of Agriculture Rural Development - Rural Utilities Service - Water and Waste Disposal Direct Loans and Grants	Pre-construction and construction associated with building, repairing, or improving drinking water, solid waste facilities and wastewater facilities.	• Cities or towns with fewer than 10,000 population. • Counties, special purpose districts, non-profit corporations or tribes unable to get funds from other sources at reasonable rates and terms.	Loans; Grants in some cases • Interest rates vary (currently 2.75 - 4.625%). • Up to 40-year loan term. • No pre-payment penalty.	Applications accepted year-round on a fund-available basis **Contact:** Gene Dobry 360-704-7733 eugene.dobry@wa.usda.gov http://www.rurdev.usda.gov/wa

CONSTRUCTION AND DESIGN/CONSTRUCTION – continued

Program	Eligible Projects	Eligible Applicants	Funding Available	How To Apply
DWSRF Drinking Water State Revolving Fund	Drinking water system infrastructure projects aimed at increasing public health protection. The program now includes dedicated funding for subsidy. There is a limited amount of principal forgiveness for communities with high affordability index numbers and water system restructuring/ consolidation projects.	Community and non-community water systems (includes for-profit and non-profit systems, but not federal or state-owned systems); both privately- and publicly-owned systems are eligible	Loan • 1 percent loan fee (water systems receiving subsidy are not subject to loan fees). • $12 million per jurisdiction per year. • $24 million for jointly-owned projects. • 1 to 1.5 percent interest rate. • Loan repayment period: 20 years or life of the project, whichever is less. • No local match required.	The Fall 2014 application cycle will start September 1, 2014 and end September 30, 2014. **Contact:** Karen Klocke 360-236-3116 karen.klocke@doh.wa.gov www.doh.wa.gov/ehp/dw/our_main_pages/dwsrf.htm
ECOLOGY: INTEGRATED WATER QUALITY FUNDING PROGRAM State Water Pollution Control Revolving Fund Centennial Clean Water Fund	Construction projects associated with publicly-owned wastewater and stormwater facilities. The integrated program also funds planning and implementation of nonpoint source pollution control activities.	Counties, cities, towns, conservation districts, or other political subdivision, municipal or quasi-municipal corporations, and tribes Hardship Assistance Jurisdictions listed above with a population of 25,000 or less	Loan, at either: (SFY 2015 interest rates) • 2.7% interest for 6-20 year term, or • 1.4% interest for 5-year term Hardship assistance for the construction of wastewater treatment facilities may be available in the form of a reduced interest rate, grant subsidy, or loan forgiveness. Hardship assistance is based on impact to residential ratepayers and the community MHI. Hardship funding is only available for the portion of a facility serving existing residential need.	State Fiscal Year 2015 application cycle closes on December 4, 2013. Applications typically accepted September 1 through first Friday in November. (FY2015 is an exception. The FY2015 cycle is delayed in order to implement the on-line application.) **Contact:** David Dunn 360-407-6503 david.dunn@ecy.wa.gov http:/www.ecy.wa.gov/programs/wq/funding/funding.html

Program	Eligible Projects	Eligible Applicants	Funding Available	How To Apply
CERB Community Economic Revitalization Board - Construction Program	Public facility projects required by private sector expansion and job creation. Projects must support significant job creation or significant private investment in the state. • Bridges, roads and railroad spurs, domestic and industrial water, sanitary and storm sewers • Electricity, natural gas and telecommunications • General purpose industrial buildings, port facilities • Acquisition, construction, repair, reconstruction, replacement, rehabilitation	• Counties, cities, towns, port districts, special districts • Federally-recognized tribes • Municipal and quasi-municipal corporations with economic development purposes.	Loans; grants in unique cases • Projects without a committed private partner allowed for in rural areas. • $2 million maximum per project, per policy. • Interest rates: 3% for non-distressed counties 2.5% for distressed counties • 20-year maximum loan term • Match for committed private partners: 20% (of total project cost). • Match for prospective partners: 50% (of total project cost). • Applicants must demonstrate gap in public project funding and need for CERB assistance. • CERB is authority for funding approvals.	Applications accepted year-round. The Board meets six times a year. **Contacts:** Janea Eddy 360-725-3151 janea.eddy@commerce.wa.gov Dawn Eychaner 360-725-4052 Dawn.eychaner@commerce.wa.gov
RCAC **RURAL COMMUNITY ASSISTANCE CORPORATION** Construction Loans	Water, wastewater, solid waste and stormwater facilities that primarily serve low-income rural communities. Can include pre-development costs.	Non-profit organizations, public agencies, tribes, and low-income rural communities with a 50,000 population or less, or 10,000 populations or less if using Rural Development financing as the takeout	• Maximum $2 million with commitment letter for permanent financing • Security in permanent loan letter of conditions • 1-3 year term • 5.5% interest rate • 1% loan fee	Applications accepted anytime **Contact:** Josh Griff 720-951-2163 jgriff@rcac.org Applications available on-line at www.rcac.org
RCAC **RURAL COMMUNITY ASSISTANCE CORPORATION** Intermediate Term Loan	Water, wastewater, solid waste and stormwater facilities that primarily serve low-income rural communities.	Non-profit organizations, public agencies, tribes, and low-income rural communities with a 50,000 population or less.	• For smaller capital needs, normally not to exceed $100,000. • Maximum 20 year term • 5% interest rate • 1% loan fee	Applications accepted anytime **Contact:** Josh Griff 720-951-2163 jgriff@rcac.org Applications available on-line at www.rcac.org

Program	Eligible Projects	Eligible Applicants	Funding Available	How To Apply
RURAL WATER REVOLVING LOAN FUND	Short-term costs incurred for replacement equipment, small scale extension of services, or other small capital projects that are not a part of regular operations and maintenance for drinking water and wastewater projects.	Public entities, including municipalities, counties, special purpose districts, Native American Tribes, and corporations not operated for profit, including cooperatives, with up to 10,000 population and rural areas with no population limits.	• Loan amounts may not exceed $100,000 or 75% of the total project cost, whichever is less. Applicants will be given credit for documented project costs prior to receiving the RLF loan. • Interest rates at the lower of the poverty or market interest rate as published by USDA RD RUS, with a minimum of 3% at the time of closing. • Maximum repayment period is 10 years. Additional ranking points for a shorter repayment period. The repayment period cannot exceed the useful life of the facilities or financed item.	Applications accepted anytime. **Contact**: Tracey Hunter Evergreen Rural Water of WA 360-462-9287 thunter@erwow.org Download application online: http://www.nrwa.org/benefits/rlfapp.pdf
SOURCE WATER PROTECTION GRANT PROGRAM	Drinking water source protection plans, feasibility studies, and hydrogeologic studies; and source protection actions/projects identified as a priority in a water system, local or regional plan.	Counties, cities, towns, and special purpose districts. Homeowner's associations and non-municipal water systems are not eligible. Project must be considered a priority for drinking water source protection by Department of Health Regional Office.	• Grants. • Funding is dependent upon project needs, but typically does not exceed $30,000. • $200,000 is currently available (as of 10-1-13).	Applications accepted anytime; grants awarded on a funds available basis. **Contact:** Kitty Weisman Source Water Protection Program Manager, Washington State Department of Health, Office of Drinking Water 360-236-3114 Kitty.weisman@doh.wa.gov

EMERGENCY

Program	Eligible Projects	Eligible Applicants	Funding Available	How To Apply
PWTF Public Works Trust Fund – Emergency Program	Projects necessary due to natural disaster, or immediate/emergent threat to public health and safety For domestic water systems, sanitary sewer, stormwater, solid waste, roads and bridges	Counties, cities, special purpose districts, and quasi-municipal organizations that meet certain requirements (contact a Regional Service Coordinator for more information). No school or port districts.	(Pending Legislative approval) No emergency funding is currently available.	Note: Availability of future funds is dependent on approval by the Governor and Legislature. **Contact:** Isaac Huang Regional Service Coordinator 360-725-3162 Isaac.huang@commerce.wa.gov
CDBG - IT Community Development Block Grant – Imminent Threat Grant Program	Repair unanticipated water, sewer and other public drainage facility damages that pose an immediate, urgent threat to public health and safety. Requires formal declaration of emergency.	• Non-entitlement cities or towns with fewer than 50,000 people • Non-entitlement counties with fewer than 200,000 people	Grant up to $50,000; pending availability of funds • Eligible costs can be reimbursed upon formal declaration of emergency. • Intended for a temporary fix while funding for permanent solution is secured.	Applications accepted year-round. **Contact:** Kaaren Roe 360-725-3018 kaaren.roe@commerce.wa.gov
RD – ECWAG Emergency Community Water Assistance Grants	Domestic water projects needing emergency repairs due to an incident such as: a drought; earthquake; flood; chemical spill; fire; etc. A significant decline in quantity or quality of potable water supply that was caused by an emergency.	Public bodies, tribes and private non-profit corporations serving rural areas with populations under 10,000	Grant; pending availability of funds • $150,000 limit for incident related emergency repairs to an existing water system. • $500,000 limit to alleviate a significant decline in potable water supply caused by an emergency.	Applications accepted year-round on a fund-available basis **Contact:** Gene Dobry 360-704-7733 eugene.dobry@wa.usda.gov http://www.rurdev.usda.gov/wa
RCAC **RURAL COMMUNITY ASSISTANCE CORPORATION** Intermediate Term Loan	Water, wastewater, solid waste and stormwater facilities that primarily serve low-income rural communities.	Non-profit organizations, public agencies, tribes, and low-income rural communities with a 50,000 population or less.	• For smaller capital needs, normally not to exceed $100,000. • Maximum 20 year term • 5% interest rate • 1% loan fee	Applications accepted anytime **Contact:** Josh Griff 720-951-2163 jgriff@rcac.org Applications available on-line at www.rcac.org

Program	Eligible Projects	Eligible Applicants	Funding Available	How To Apply
RURAL WATER REVOLVING LOAN FUND Disaster area emergency loans	Contact staff for more information on emergency loans.	Public entities, including municipalities, counties, special purpose districts, Native American Tribes, and corporations not operated for profit, including cooperatives, with up to 10,000 population and rural areas with no population limits.	90-day, no interest, disaster area emergency loans with immediate turn-around.	Applications accepted anytime. **Contact**: Tracey Hunter Evergreen Rural Water of WA 360-462-9287 thunter@erwow.org Download application online: http://www.nrwa.org/benefits/rlfapp.pdf
HMA/ HMGP MITIGATION PLANNING & PROJECT AWARDS FEMA Pre- and Post-Disaster awards	Cost-effective flood, seismic, wildfire, and wind infrastructure mitigation projects.	Counties, cities, special purpose districts, and quasi-municipal organizations that meet certain requirements and have approved Hazard Mitigation Plan with FEMA.	Annual, but Congress is reducing Pre-Disaster HMA awards. Hazard Mitigation Grant Program – Available after Presidentially-Declared major disasters. Awards range from $50,000 to $3 million, based on statewide competition.	Availability of future funds is dependent on approval by FEMA and Congress. **Contact:** Peter Tassoni State Hazard Mitigation Officer 253-512-7072 Peter.Tassoni@mil.wa.gov